

Form 990

Return of Organization Exempt From Income Tax

OMB No 1545-0047

Department of the Treasury
Internal Revenue ServiceUnder section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung
benefit trust or private foundation)

2001

Open to Public
Inspection

► The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2001 calendar year, or tax year period beginning _____ and ending _____

B Check if
applicable.

Please use IRS
label or
print or
type
See
Specific
Instruc-
tions

Address
change
 Name
change
 Initial
return
 Final
return
 Amended
return
 Application
pending

C Name of organization

THE PHILANTHROPY ROUNDTABLE

D Employer identification number

13-2943020

Number and street (or P O box if mail is not delivered to street address)

1150 17TH STREET, NW

Room/suite

503

E Telephone number

(202) 822-8333

City or town, state or country, and ZIP + 4

WASHINGTON, DC 20036

F Accounting method

 Cash Accrual Other
(specify) ►

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts
must attach a completed Schedule A (Form 990 or 990-EZ)

G Web site ►WWW.philanthropyroundtable.org

J Organization type (check only one) ► 501(c) (3) ◀ (insert no) 4947(a)(1) or 527K Check here ► if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return

L Gross receipts Add lines 6b, 8b, 9b and 10b to line 12 ► 1,856,713.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

ENVENUE POSTMARK DATE MAY 15 2002 SCANNED JUN 26 02	1 Contributions, gifts, grants, and similar amounts received	1a 1,461,299.	
	a Direct public support	1b	
	b Indirect public support	1c	
	c Government contributions (grants)		
	d Total (add lines 1a through 1c)		
	(cash \$ 1,461,299. noncash \$)		1d 1,461,299.
	2 Program service revenue including government fees and contracts (from Part VII, line 93)		2 314,126.
	3 Membership dues and assessments		3
	4 Interest on savings and temporary cash investments		4 18,503.
	5 Dividends and interest from securities		5 2,785.
	6 a Gross rents	6a	
	b Less rental expenses	6b	
Expenses	c Net rental income or (loss) (subtract line 6b from line 6a)	6c	
	7 Other investment income (describe) ►	7	
	8 a Gross amount from sale of assets other than inventory	(A) Securities 60,000.	(B) Other 8a
	b Less cost or other basis and sales expenses	60,000.	8b
	c Gain or (loss) (attach schedule)		8c
	d Net gain or (loss) (combine line 8c, columns (A) and (B))	Stmt 1	8d
	9 Special events and activities (attach schedule)		
	a Gross revenue (not including \$ of contributions reported on line 1a)	9a	
	b Less direct expenses other than fundraising expenses	9b	
	c Net income or (loss) from special events (subtract line 9b from line 9a)	9c	
Net Assets	10 a Gross sales of inventory, less returns and allowances	10a	
	b Less cost of goods sold	10b	
	c Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c	
	11 Other revenue (from Part VII, line 103)	11	
	12 Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12 1,796,713.	
Net Assets	13 Program services (from line 44, column (B))	13 1,515,791.	
	14 Management and general (from line 44, column (C))	14 110,987.	
	15 Fundraising (from line 44, column (D))	15 53,158.	
	16 Payments to affiliates (attach schedule)	16	
	17 Total expenses (add lines 16 and 44, column (A))	17 1,679,936.	
	18 Excess or (deficit) for the year (subtract line 17 from line 12)	18 116,777.	
	19 Net assets or fund balances at beginning of year (from line 73, column (A))	19 643,843.	
	20 Other changes in net assets or fund balances (attach explanation)	20 <10,488.>	
	21 Net assets or fund balances at end of year (combine lines 18, 19 and 20)	21 750,132.	

Part II Statement of Functional Expenses	All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others				
	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising	
<i>Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I</i>					
22 Grants and allocations (attach schedule)	22				
cash \$ _____ noncash \$ _____					
23 Specific assistance to individuals (attach schedule)	23				
24 Benefits paid to or for members (attach schedule)	24				
25 Compensation of officers, directors, etc	25	211,792.	190,612.	10,590.	10,590.
26 Other salaries and wages	26	364,981.	330,394.	21,986.	12,601.
27 Pension plan contributions	27	76,726.	69,309.	4,333.	3,084.
28 Other employee benefits	28	59,224.	52,805.	3,484.	2,935.
29 Payroll taxes	29	38,699.	34,958.	2,186.	1,555.
30 Professional fundraising fees	30				
31 Accounting fees	31				
32 Legal fees	32				
33 Supplies	33	15,557.	13,871.	915.	771.
34 Telephone	34	8,262.	7,366.	486.	410.
35 Postage and shipping	35	67,506.	63,564.	648.	3,294.
36 Occupancy	36	74,534.	66,456.	4,384.	3,694.
37 Equipment rental and maintenance	37	15,689.	13,988.	923.	778.
38 Printing and publications	38	165,075.	160,492.	790.	3,793.
39 Travel	39	293,852.	292,484.	460.	908.
40 Conferences, conventions, and meetings	40	12,295.		12,295.	
41 Interest	41				
42 Depreciation, depletion, etc (attach schedule)	42	10,334.	9,213.	608.	513.
43 Other expenses not covered above (itemize)					
a _____	43a				
b _____	43b				
c _____	43c				
d _____	43d				
e See Statement 3	43e	265,410.	210,279.	46,899.	8,232.
44 Total functional expenses (add lines 22 through 43) Organizations completing columns (B)-(D) carry these totals to lines 13-15	44	1,679,936.	1,515,791.	110,987.	53,158.

Joint Costs Check ► if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services?

► Yes No

If "Yes," enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to Program services \$ _____, (iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____.

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? ► See Statement 4

	Program Service Expenses (Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts but optional for others)
a CONVENTIONS- ANNUAL AND REGIONAL MEETINGS FOR GRANT MAKERS TO PROMOTE INNOVATIVE IDEAS FOR PHILANTHROPIC GIVING.	(Grants and allocations \$) 747,895.
b PHILANTHROPY- A BI-MONTHLY PUBLICATION CONTAINING ARTICLES BY DONORS ABOUT NEW IDEAS AND DEVELOP- MENTS IN PHILANTHROPY.	(Grants and allocations \$) 511,839.
c ASSOCIATE RESEARCH AND DEVELOPMENT- OUTREACH EFFORT TOWARDS FOUNDATIONS AND INDIVIDUAL DONORS.	(Grants and allocations \$) 203,858.
d GUIDEBOOKS- GUIDEBOOKS ADDRESSING BOTH PRAC- TICAL AND PHILOSOPHICAL MATTERS PERTAINING TO CHARITABLE GIVING.	(Grants and allocations \$) 52,199.
e Other program services (attach schedule)	(Grants and allocations \$)
f Total of Program Service Expenses (should equal line 44 column (B), Program services)	► 1,515,791.

Part IV Balance Sheets

Note Where required, attached schedules and amounts within the description column should be for end-of-year amounts only		(A) Beginning of year	(B) End of year
	45 Cash - non-interest-bearing	628,367.	45
	46 Savings and temporary cash investments	59,684.	46 631,803.
	47 a Accounts receivable	6,550.	
	b Less allowance for doubtful accounts	47b	12,610. 47c 6,550.
	48 a Pledges receivable	48a 54,000.	
	b Less allowance for doubtful accounts	48b	39,000. 48c 54,000.
	49 Grants receivable		49
	50 Receivables from officers, directors, trustees, and key employees		50
	51 a Other notes and loans receivable	51a	
	b Less allowance for doubtful accounts	51b	51c
	52 Inventories for sale or use		52
	53 Prepaid expenses and deferred charges		53
	54 Investments - securities Stmt 5	► <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV 59,005.	54 51,004.
	55 a Investments - land, buildings, and equipment basis	55a	
	b Less accumulated depreciation	55b	55c
	56 Investments - other		56
	57 a Land, buildings, and equipment basis	57a 62,861.	
	b Less accumulated depreciation	57b 41,087.	24,708. 57c 21,774.
	58 Other assets (describe ►)		752. 58
	59 Total assets (add lines 45 through 58) (must equal line 74)	824,126.	59 765,131.
	60 Accounts payable and accrued expenses	180,283.	60 14,999.
	61 Grants payable		61
	62 Deferred revenue		62
	63 Loans from officers, directors, trustees, and key employees		63
	64 a Tax-exempt bond liabilities		64a
	b Mortgages and other notes payable		64b
	65 Other liabilities (describe ►)		65
	66 Total liabilities (add lines 60 through 65)	180,283.	66 14,999.
	Organizations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74		
	67 Unrestricted	586,831.	67 591,749.
	68 Temporarily restricted	57,012.	68 158,383.
	69 Permanently restricted		69
	Organizations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 70 through 74		
	70 Capital stock, trust principal, or current funds		70
	71 Paid-in or capital surplus, or land, building, and equipment fund		71
	72 Retained earnings, endowment, accumulated income, or other funds		72
	73 Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72 column (A) must equal line 19 column (B) must equal line 21)	643,843.	73 750,132.
	74 Total liabilities and net assets / fund balances (add lines 66 and 73)	824,126.	74 765,131.

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return		Part IV-B Reconciliation of Expenses per Audited Financial Statements With Expenses per Return	
a Total revenue, gains, and other support per audited financial statements	► a 1,786,225.	a Total expenses and losses per audited financial statements	► a 1,679,936.
b Amounts included on line a but not on line 12, Form 990		b Amounts included on line a but not on line 17, Form 990	
(1) Net unrealized gains on investments \$ <10,488.		(1) Donated services and use of facilities \$	
(2) Donated services and use of facilities \$		(2) Prior year adjustments reported on line 20, Form 990 \$	
(3) Recoveries of prior year grants \$		(3) Losses reported on line 20, Form 990 \$	
(4) Other (specify) \$		(4) Other (specify) \$	
Add amounts on lines (1) through (4) ► b <10,488.		Add amounts on lines (1) through (4) ► b 0.	
c Line a minus line b ► c 1,796,713.		c Line a minus line b ► c 1,679,936.	
d Amounts included on line 12, Form 990 but not on line a		d Amounts included on line 17, Form 990 but not on line a	
(1) Investment expenses not included on line 6b, Form 990 \$		(1) Investment expenses not included on line 6b, Form 990 \$	
(2) Other (specify) \$		(2) Other (specify) \$	
Add amounts on lines (1) and (2) ► d 0.		Add amounts on lines (1) and (2) ► d 0.	
e Total revenue per line 12, Form 990 (line c plus line d) ► e 1,796,713.		e Total expenses per line 17, Form 990 (line c plus line d) ► e 1,679,936.	

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter 0.)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
DANIEL PETERS 1150 17TH STREET, NW WASHINGTON, DC 20036	CHAIRMAN 0	0.	0.	0.
JOANNE B. BEYER 1150 17TH STREET, NW WASHINGTON, DC 20036	VICE CHAIRMAN 0	0.	0.	0.
JOSEPH S. DOLAN 1150 17TH STREET, NW WASHINGTON, DC 20036	TREASURER/SECRETARY 0	0.	0.	0.
KIMBERLY O. DENNIS 1150 17TH STREET, NW WASHINGTON, DC 20036	DIRECTOR 0	0.	0.	0.
CHESTER E. FINN JR. 1150 17TH STREET, NW WASHINGTON, DC 20036	DIRECTOR 0	0.	0.	0.
HEATHER RICHARDSON HIGGINS 1150 17TH STREET, NW WASHINGTON, DC 20036	DIRECTOR 0	0.	0.	0.
JAMES PIERESON 1150 17TH STREET, NW WASHINGTON, DC 20036	DIRECTOR 0	0.	0.	0.
JOHN P. WALTERS 1150 17TH STREET, NW WASHINGTON, DC 20036	FORMER PRESIDENT 40	171,739.	33,656.	0.
ADAM J. MEYERSON 1150 17TH STREET, NW WASHINGTON, DC 20036	PRESIDENT 40	40,053.	4,399.	0.

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations? If "Yes" attach schedule ► Yes No

Part VI Other Information

		Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76	X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77	X
78 a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	78a	X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	78b	X
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79	X
80 a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization? b If "Yes," enter the name of the organization ►	80a	X
	and check whether it is <input type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt	81a	0
81 a	Enter direct or indirect political expenditures See line 81 instructions	81b	X
b	Did the organization file Form 1120-POL for this year?	82a	X
82 a	Did the organization receive donated services or the use of materials, equipment or facilities at no charge or at substantially less than fair rental value? b If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)	82b	N/A
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications? b Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83a	X
84 a	Did the organization solicit any contributions or gifts that were not tax deductible? b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84a	X
85	501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members? b Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year	85c	N/A
c	Dues, assessments, and similar amounts from members	85d	N/A
d	Section 162(e) lobbying and political expenditures	85e	N/A
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85f	N/A
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85g	N/A
g	Does the organization elect to pay the section 6033(e) tax on the amount in 85f?	85h	N/A
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?		
86	501(c)(7) organizations Enter a Initiation fees and capital contributions included on line 12	86a	N/A
b	Gross receipts, included on line 12, for public use of club facilities	86b	N/A
87	501(c)(12) organizations Enter a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87a	N/A
		87b	N/A
88	At any time during the year did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88	X
89 a	501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 ► 0 ., section 4912 ► 0 ., section 4955 ► 0 .	89b	X
b	501(c)(3) and 501(c)(4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction		
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		0 .
d	Enter Amount of tax on line 89c above, reimbursed by the organization		0 .
90 a	List the states with which a copy of this return is filed ► DISTRICT OF COLUMBIA	90b	7
b	Number of employees employed in the pay period that includes March 12, 2001		
91	The books are in care of ► LISA GRIFFIN	Telephone no ► (202) 822-8333	
	Located at ► 1150 17TH STREET, NW, WASHINGTON, DC	ZIP + 4 ► 20036	
92	Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041- Check here and enter the amount of tax-exempt interest received or accrued during the tax year	► 92	<input type="checkbox"/> N/A
123041 01-02-02	5		
12020513 712177 71120	2001.05040	THE PHILANTHROPY ROUNDTABLE	71120 1

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32)

Note Enter gross amounts unless otherwise indicated

Note: Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.

Part VIII Relationship of Activities

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32)

Line No ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
93a	REGISTRATION AND OTHER REVENUE FROM ANNUAL MEETING AND CONFERENCES.
94	PUBLICATION INCOME - PHILANTHROPY MAGAZINE & GUIDEBOOKS.

Part IX **Information Regarding Taxable Subsidiaries and Disregarded Entities** (See Specific Instructions on page 33.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
N/A	%			
	%			
	%			

Part X **Information Regarding Transfers Associated with Personal Benefit Contracts** (See Specific Instructions on page 33)

(a) Did the organization, during the year, receive any funds, directly or indirectly to pay premiums on a personal benefit contract? Yes No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Note: If "Yes" to (h), file Form 8870 and Form 4720 (see instructions).

<p>Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.</p>	
<p>Please Sign Here</p>	<p><i>Adam Meyers</i></p>
	<p>15/15/02</p>
	<p>ADAM MEYERS, JR.</p>
	<p>PRESIDENT</p>
	<p>Type or print name and title</p>
<p>Paid Preparer's Use Only</p>	<p>Preparer's signature</p>
	<p><i>Thomas H. Rau CPA</i></p>
	<p>Date</p>
	<p>Check if self- employed</p>
	<p>Preparer's SSN or PTIN</p>
<p>123181 01-02-02</p>	<p>Firm's name (or yours if self-employed), address and ZIP + 4</p>
	<p>THOMAS HAVEY LLP</p>
	<p>900 17TH STREET, N.W.</p>
	<p>WASHINGTON, DC 20006</p>
	<p>EIN</p>
	<p>Phone no.</p>
	<p>(202) 331-9880</p>

Department of the Treasury
Internal Revenue Service

► **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

Name of the organization

Employer identification number
13 294 3020

THE PHILANTHROPY ROUNDTABLE

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions. List each one. If there are none, enter "None.")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
James O'Gara	DIR OF RESEARCH			
1150 17TH STREET, NW, WASH, DC 20036	40	86,692.	17,500.	
Tom Riley	DIR OF POLICY			
1150 17TH STREET, NW, WASH, DC 20036	40	58,154.	20,645.	
Lisa Griffin	CFO			
1150 17TH STREET, NW, WASH, DC 20036	40	82,140.	19,077.	

Total number of other employees paid over \$50 000

6

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None".)

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
None		

**Total number of others receiving over
\$50,000 for professional services**

10

Part III Statements About Activities (See page 2 of the instructions)

Yes No

1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ► \$ _____ \$ _____ (Must equal amounts on line 38, Part VI-A, or line 1 of Part VI-B)

1 X

Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities

2 During the year, has the organization either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions)

a Sale exchange, or leasing of property?

2a X

b Lending of money or other extension of credit?

2b X

c Furnishing of goods, services, or facilities?

2c X

d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? See Part V, Form 990

2d X

e Transfer of any part of its income or assets?

2e X

3 Does the organization make grants for scholarships, fellowships, student loans, etc? (See Note below)

3 X

4 Do you have a section 403(b) annuity plan for your employees?

4 X

Note Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only ONE applicable box)

5 A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)

6 A school Section 170(b)(1)(A)(ii) (Also complete Part V)

7 A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)

8 A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)

9 A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ► _____

10 An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the Support Schedule in Part IV-A)

11a An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A)

11b A community trust Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A)

12 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc, functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the Support Schedule in Part IV-A)

13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

14 An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) Use cash method of accounting.
 Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants and contributions received (Do not include unusual grants. See line 28.)	1,173,250.	1,197,885.	742,170.	991,050.	4,104,355.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	403,131.	265,100.	104,708.	86,730.	859,669.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	34,710.	34,710.	33,854.	35,574.	138,848.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income Attach a schedule. Do not include gain or (loss) from sale of capital assets					
23 Total of lines 15 through 22	1,611,091.	1,497,695.	880,732.	1,113,354.	5,102,872.
24 Line 23 minus line 17	1,207,960.	1,232,595.	776,024.	1,026,624.	4,243,203.
25 Enter 1% of line 23	16,111.	14,977.	8,807.	11,134.	

26 Organizations described on lines 10 or 11	a Enter 2% of amount in column (e), line 24	► 26a	84,864.
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts		► 26b	1,037,684.
c Total support for section 509(a)(1) test. Enter line 24, column (e)		► 26c	4,243,203.
d Add Amounts from column (e) for lines 18	138,848.	19	
	22	26b	1,037,684.
e Public support (line 26c minus line 26d total)		► 26d	1,176,532.
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))		► 26e	3,066,671.
		► 26f	72.2725%

27 Organizations described on line 12

a For amounts included in lines 15, 16 and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year N/A

(2000) (1999) (1998) (1997)

b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year N/A

(2000) (1999) (1998) (1997)

c Add Amounts from column (e) for lines 15

16

17 20 21

► 27c N/A

d Add Line 27a total and line 27b total

► 27d N/A

e Public support (line 27c total minus line 27d total)

► 27e N/A

f Total support for section 509(a)(2) test. Enter amount on line 23, column (e)

► 27f N/A

g Public support percentage (line 27e (numerator) divided by line 27f (denominator))

► 27g N/A %

h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))

► 27h N/A %

28 Unusual Grants For an organization described in line 10, 11, or 12, that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15

None

Part V Private School Questionnaire (See page 7 of the instructions)

N/A

(To be completed ONLY by schools that checked the box on line 6 in Part IV)

29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?

30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs and scholarships?

31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?

If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement)

	Yes	No
29		
30		
31		
32a		
32b		
32c		
32d		
33a		
33b		
33c		
33d		
33e		
33f		
33g		
33h		
34a		
34b		
35		

32 Does the organization maintain the following

- a Records indicating the racial composition of the student body, faculty and administrative staff?
- b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?
- c Copies of all catalogues brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?
- d Copies of all material used by the organization or on its behalf to solicit contributions?

If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)

33 Does the organization discriminate by race in any way with respect to

- a Students' rights or privileges?
- b Admissions policies?
- c Employment of faculty or administrative staff?
- d Scholarships or other financial assistance?
- e Educational policies?
- f Use of facilities?
- g Athletic programs?
- h Other extracurricular activities?

If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)

34 a Does the organization receive any financial aid or assistance from a governmental agency?

b Has the organization's right to such aid ever been revoked or suspended?

If you answered "Yes" to either 34a or b, please explain using an attached statement

35 Does the organization certify that it has complied with the applicable requirements of sections 401 through 405 of Rev. Proc. 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 12 of the instructions)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting organization to a noncharitable exempt organization of

(i) Cash

(II) Other assets

b Other transactions

(I) Sales or exchanges of assets with a noncharitable exempt organization

(ii) Purchases of assets from a noncharitable exempt organization

(iii) Rental of facilities, equipment, or other assets

(iv) Reimbursement arrangements

(v) Loans or loan guarantees

(vi) Performance of services or membership or fundraising solicitations

6 Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
51a(i)		X
a(ii)		X
b(i)		X
b(ii)		X
b(III)		X
b(iv)		X
b(v)		X
b(vi)		X
c		X

N/A

52 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ►

► Yes No

b If "Yes," complete the following schedule

N/A

Schedule B
(Form 990, 990-EZ, or
990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**Supplementary Information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No. 1545-0047

2001**Name of organization****THE PHILANTHROPY ROUNDTABLE****Employer identification number****Organization type (check one)****Filers of:** **Section**Form 990 or 990 EZ 501(c)(3) (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organizationForm 990 PF 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule** (Note Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule-see instructions)

General Rule- For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)**Special Rules-** For a section 501(c)(3) organization filing Form 990, or Form 990 EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II) For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990 EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III) For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990 EZ, that received from any one contributor, during the year, some contributions for use exclusively for religious, charitable, etc , purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc , purpose Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year) ► \$ _____

Caution Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they must check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization

Employer identification number

THE PHILANTHROPY ROUNDTABLE

13-2943020

Part I Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1		\$ 75,000.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
(a) No		\$ 75,000.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
3		\$ 225,000.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
4		\$ 75,000.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
5		\$ 50,000.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
6		\$ 50,000.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)

Form 990	Gain (Loss) From Publicly Traded Securities		Statement	1
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Description	Gross Sales Price	Cost or Other Basis	Expense of Sale	Net Gain or (Loss)
PUBLICLY TRADED SECURITIES	60,000.	60,000.	0.	0.
To Form 990, Part I, line 8	60,000.	60,000.	0.	0.

Form 990	Other Changes in Net Assets or Fund Balances		Statement	2
----------	--	--	-----------	---

Description	Amount
UNREALIZED APPRECIATION (DEPRECIATION) IN FAIR VALUE OF INVESTMENTS	<10,488.>
Total to Form 990, Part I, line 20	<10,488.>

Form 990	Other Expenses		Statement	3
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Description	(A) Total	(B) Program Services	(C) Management and General	(D) Fundraising
	BANK CHARGES	6,740.		6,740.
COMMISSIONS	28,563.	28,563.		
DISTRIBUTION	23,378.	22,939.		439.
DUES AND				
SUBSCRIPTIONS	7,241.		7,241.	
HONORARIA	55,000.	55,000.		
INSURANCE	6,473.		6,473.	
INTERNET	18,364.	12,855.		5,509.
MEALS AND				
ENTERTAINMENT	6,258.		6,258.	
PARKING	8,750.		8,750.	
PRODUCTION	31,436.	31,436.		
PROFESSIONAL FEES	44,614.	30,893.	11,437.	2,284.
SUBSCRIBER RESEARCH AND DEVELOPMENT	28,593.	28,593.		
Total to Fm 990, ln 43	265,410.	210,279.	46,899.	8,232.

Form 990 Statement of Organization's Primary Exempt Purpose Statement 4
Part III

Explanation

TO PROMOTE ENTREPRENEURSHIP, DEMOCRATIC INSTITUTIONS, AND PRIVATE INITIATIVE
THROUGH THE ENHANCEMENT OF PRIVATE PHILANTHROPY.

Form 990 Non-Government Securities Statement 5

Security Description	Corporate Stocks	Corporate Bonds	Other Publicly Traded Securities	Other Securities	Total Non-Gov't Securities
MUTUAL FUNDS			51,004.		51,004.
To 990, ln 54 Col B			51,004.		51,004.

THE PHILANTHROPY ROUNDTABLE
FORM 990
DECEMBER 31, 2001

DEPRECIATION SCHEDULE
FORM 990 PART II AND IV 2001, LINE 42 AND 57
LAND, BUILDINGS AND EQUIPMENT

STATEMENT 6
#13-2943020

BASIS

<u>Description</u>	<u>Beginning of Year</u>	<u>Additions</u>	<u>Retirements</u>	<u>Transfers/ Other</u>	<u>End of Year</u>
Office furniture	\$ 68,039	\$ 7,400	\$ (12,578)		\$ 62,861

ACCUMULATED DEPRECIATION

<u>Description</u>	<u>Beginning of Year</u>	<u>Additions</u>	<u>Retirements</u>	<u>Transfers/ Other</u>	<u>End of Year</u>
Office furniture	\$ 43,330	\$ 10,335	\$ (12,578)		\$ 41,087